

**Revised syllabus (2019Pattern) M. Com. Degree course (CBCS) Syllabus for**

**M.Com Part II Semester – IV**

**Subject Name: - Capital Market and Financial Services**

**Compulsory Subject Course ode: - 201-II**

**Objectives:**

1. To acquaint the students with working of capital market.
2. To make the students aware about the latest developments in the field of capital market in India.
3. To enable the students to understand various transactions in stock exchanges and agencies involved in it.
4. To give exposure of financial services offered by various agencies and financial adviser to students.

**Skills to be developed:**

1. To make aware about working of capital market in India.
  2. To expose them to various instruments of capital market.
  3. Students shall learn and acquire the knowledge of financial services offered by agencies.
- Students will acquire skills about recent development in regulatory body SEBI

<b>Unit No.</b>	<b>Topic</b>	<b>Teaching Method</b>	<b>Course Outcome</b>
1	<b>Capital market:</b> 1.1 Meaning, Functions, Structure, Characteristics of Capital Market 1.2 Participants of capital market - Capital market instruments, Equity share, Preferences shares, Debenture, Bonds, 1.3 Innovative debt instruments: Junk bonds, Naked bonds, Deep discount bonds, Forward contracts, Futures contract - Options contract, 1.4 Trends in capital market in India.	Lecture, PPT, Group Discussion, Library Work, Assignment,	Students will be able to learn the importance and working of capital market.

2	<b>Stock Market</b> <b>2.1 Stock exchange:</b> organization-membership-governing body - Bombay stock exchange and National Stock Exchange: functions, trading volumes, <b>2.2 Over the Counter Exchange of India (OTCEI):</b> Progress and Functions. <b>2.3 Primary market –</b> Functions of primary market - issue mechanism- Procedure of IPO, Price Band, Participants, Prospectus, <b>2.4 Listing of Securitas:</b> procedure, annual return filing to stock exchange by share listed companies <b>2.5 Secondary market :</b> Objectives, functions of secondary markets, stock broking, e-broking, depository system-functions and benefits stock market trading, Index, SENSEX, NIFTY, Intraday Trading, Delivery Trading, -Derivatives trading	Lecture, PPT, Group Discussion, Library Work, Assignment, Use of internet	Student will be able to understand the working of BSE and NSE, and OTCEI in detail.
3	<b>Financial Services</b> <b>3.1 Merchant banking and underwriting,</b> collecting bankers : meaning, functions ,and services rendered, <b>3.2 Mutual funds:</b> Meaning, functions-Types-Open and closed ended funds-income funds balanced fund, growth fund-index fund, Portfolio management: meaning and services <b>3.3 Credit rating:</b> meaning and need, various credit rating agencies in India, Foreign institutional investment (FII), Foreign Direct Investment (FDI), Hedge funds, Offshore funds.	Lecture, PPT, Group Discussion, Library Work, Assignment, Use of internet	Students will be able to know the role of inter-mediatories, Mutual funds. Portfolio management.
4	<b>Securities and Exchange Board of India (SEBI)</b> <b>4.1 Background, Establishment, functions,</b> powers, achievements and Regulatory aspects, recent changes & emerging trends. <b>4.2 Investors education and redressal</b> mechanism by SEBI <b>4.3 SEBI approved Financial adviser:</b> Role and Functions.	Lecture, PPT, Group Discussion, Library Work, Assignment, Use of internet	Students will be able to know the role of SEBI in regulating stock exchanges and investors' education, financial advisors.

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