UNIVERSITY OF PUNE
SYLLABUS FOR POST GRADUATE DIPLOMA IN CAPITAL MARKET MANAGEMENT (PGDCMM)

Effective Commencement from Academic Year 2008-09

(I) NAME OF THE COURSE:
The name of the Course shall be Post Graduate Diploma in Capital Market Management (PGDCMM)

(II) ELIGIBILITY FOR ADMISSION:
Students possessing following qualification are eligible for admission to PGDCMM Course –

1. A Bachelor’s Degree in any faculty of any Statutory University or any other recognized Foreign University.
2. Any Diploma awarded by Board of Technical Education of any State Government or Central Government and experience as follows
   a) Post SSC three year Diploma with two years’ post Diploma Experience or
   b) Post HSC two year Diploma with one year’s Post Diploma experience.

(III) STRUCTURE OF COURSE:
The course will have six papers carrying 100 marks each as follows.

Course structure for Post Graduate Diploma in Capital Market Management (PGDCMM)

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<th>Subject Title</th>
<th>Examination</th>
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<td>101</td>
<td>Management Principles &amp; Practices</td>
<td>External</td>
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<td>Market of new issues</td>
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<td>103</td>
<td>Secondary Markets</td>
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<td>Investment Analysis</td>
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<td>106</td>
<td>Project Report</td>
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(IV) ASSESSMENT AND STANDARD OF PASSING

1. The papers marked as “External” in course structure are meant for external evaluation by the University and carry 100 marks each. Out of these 100 marks, 70 marks are reserved for University evaluation based upon comprehensive written Examination and 30 marks are reserved for Internal evaluation by the individual Institute. The paper marked as Internal in the course structure (i.e., project report) also carries 100 marks and is meant for only internal evaluation by the individual institute.

2. Every student must secure 40% marks in both University evaluation as well as Internal evaluation for passing.

3. Marks awarded by individual institute in the internal evaluation must be communicated by them to the University before the commencement of University Examination.

4. The minimum standard of passing will be 40 percent marks in each paper for internal as well as external heads.

5. Each student is expected to complete the Project Report of not less than 5000 words and submit a copy of the Report to the Director of the institute before 31st January. The report must be based upon first-hand study of some aspect of Capital Market under guidance of the faculty of the Institute. The faculty will internally evaluate the Report and the marks will be communicated by the individual institute to the University before the commencement of University Examination.

(V) BACKLOG

A student who fails in any subject in the internal or external examination shall be allowed to reappear for the same with the fresh batch in the next academic year.
Management Principles and Practices

3. Functions of Management.
5. Social Responsibility of Exchanges.
7. Management of Mutual Funds.
8. Study of functions of BSE and NSE.
9. Role of RBI as controller of Money Market.

Books Recommended

2. Management for Results – Peter Drucker.
5. OTC Exchange of India – Nipum S. Mehta.

Market of New Issues


Books Recommended –

2. Performance Appraisal for Mutual Funds – M. S. Turan, B. S. Bodlay
3. New Issues Capital Market in India – M. A. Mulay
4. Study in Indian Financial System – V. A.Avdhani

(103) Secondary Markets

7. Indian Depository System – Need – Paperless Trading – Benefits – Need for educating investors
8. Derivatives trading in stock markets, NIFTY Futures and Options

Books Recommended –

2. Futures and Options – R. Mahajan
3. Derivatives – T. V. Somnathan
4. Trading on-line – Alephs Patel
5. India Security Market – O. P.Gupta
(104) Investment Analysis


7. Fundamental Analysis.

Books Recommended –

1. Investment and Securities Markets in India – V. A. Avdhani.
2. Study of Indian Financial System – V. A. Avdhani
(105) Portfolio Theory and Management


6. Foreign Portfolio investments - Setting of country funds – Global Depository Receipts – Issues faced by Foreign Portfolio Investors.

Books Recommended –

1. Portfolio Analysis and Management – Ballad
4. Security Analysis and Portfolio Management – V. A. Avdhani
(106) Project Report
1. Each student shall undertake a first hand study of some aspect of capital market in India.
2. The student shall write a project report of not less than 5000 words under the guidance of an internal teacher based on his study.
3. The student shall submit a typed copy of the project report to the Institute before 31st January.
4. The faculty of the institute will internally evaluate the report and marks will be communicated by the institute to the University along with marks for internal evaluation for all other papers.
5. No student can appear for annual examination unless he submits project report.